



B1

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

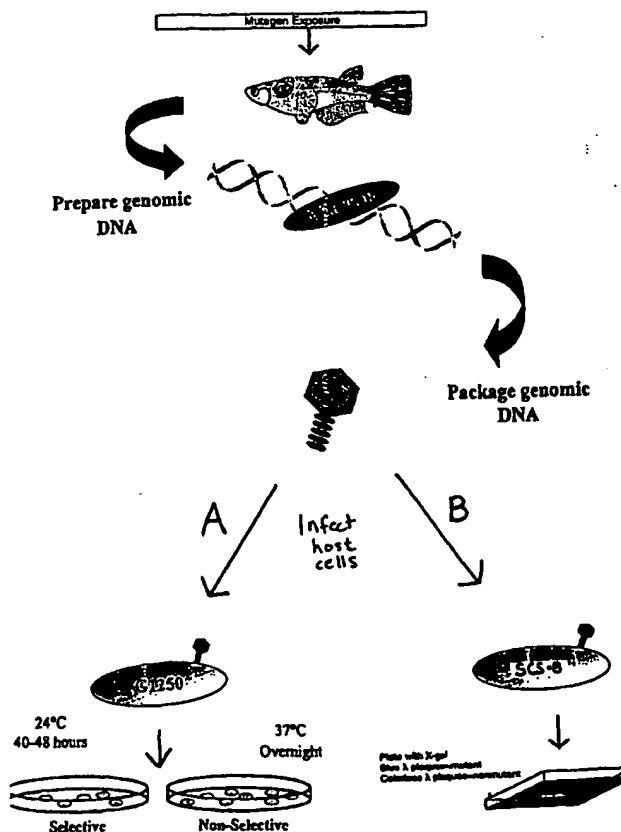
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A01K 67/027, C12Q 1/70, 1/68, 1/02, C12N 5/10		A1	(11) International Publication Number: WO 99/62333
			(43) International Publication Date: 9 December 1999 (09.12.99)
(21) International Application Number: PCT/US99/11857		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 28 May 1999 (28.05.99)		Published <i>With international search report.</i> <i>With amended claims.</i>	
(30) Priority Data: 60/087,430 31 May 1998 (31.05.98) US			
(71) Applicant: THE UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC. [US/US]; Boyd Graduate Studies Research Center, Athens, GA 30602-7411 (US).			
(71)(72) Applicant and Inventor: WINN, Richard, N. [US/US]; 395 Milledge Circle, Athens, GA 30606 (US).			
(74) Agent: SANDBERG, Victoria, A.; Mueiting, Raasch & Gebhardt, P.A., P.O. Box 581415, Minneapolis, MN 55458-1415 (US).			

(54) Title: BACTERIOPHAGE-BASED TRANSGENIC FISH FOR MUTATION DETECTION

(57) Abstract

The present invention provides transgenic fish whose somatic and germ cells contain a genomically integrated bacteriophage lambda-derived transgene construct. The transgene construct can include an excisable test nucleic acid sequence containing a heterologous mutation target nucleic acid sequence that is detectable via bioassay in a bacterial cell into which the test nucleic acid has been introduced. The frequency of mutations in the mutation target nucleic acid sequence following exposure of the transgenic fish to one or more potentially mutagenic agents can thus be evaluated.



BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

BACTERIOPHAGE-BASED TRANSGENIC FISH FOR MUTATION DETECTION

5

Statement of Government Rights

This invention was made with government support under a grant from the National Institutes of Health, Grant No. RR11733-01. The U.S.

10 Government has certain rights in this invention.

Field of the Invention

This invention relates to a transgenic fish carrying a bacteriophage-derived transgene construct, and in particular relates to a
15 transgenic fish for use in evaluating the effect of a potential mutagenic agent or event. The transgenic fish is exposed to the mutagenic agent or event, and mutagenesis is detected by assaying for a mutation target nucleic acid sequence present as a genomically integrated transgene in the transgenic fish.

20

Background of the Invention

Thousands of chemicals are currently in commercial use in the USA, some of which pose significant health risk to humans. Among these toxicants are mutagens to which exposure is likely to cause genetic changes that lead to somatic or inherited diseases. In particular, cancer has been shown to
25 result from a series of mutations in specific oncogenes and tumor suppressor genes (B. Vogelstein et al., *N. Engl. J. Med.* 319: 525-532 (1988)). Despite the recognition of the role of chemically-induced mutation as an important event leading to disease, few methods are available for the assessment of genetic hazard, or focus on the study of gene mutations as they occur at the DNA level
30 *in vivo*. As a result, there is an immediate need to develop sensitive and biologically relevant methods that can be applied to the study of the mechanisms of mutagenesis and hazard assessment.

Until recently, progress in the analysis of gene mutations directly at the DNA level was limited by the standard molecular techniques and the available endogenous genes. During past years, the most relevant assays for induction of transmissible mutations have been based on the appearance of
5 visible or biochemical mutations among the offspring of exposed mice (L.B. Russell et al., *Mutation Res.* 86: 329-354 (1981); L.R. Valcovic et al., *Environ. Health Perspect.* 6:201-205 (1973); S.E. Lewis et al., *Prog. Clin. Biol. Res.* 209B. 359-365 1986)). These tests cannot be practically applied to large numbers of compounds because they require extensive resources and very large
10 numbers of animals. The tests also fail to provide information regarding somatic mutagenesis or clustering of mutations, which may be important in the understanding of the development of various diseases.

In order to circumvent some of the problems inherent in rodent assays, short-term mutagenicity tests were developed, based on the assumption
15 that many of the chemicals toxic to rodents would also be genotoxic to bacteria. However, an analysis by the National Toxicology Program (R. W. Tennant et al., *Science* 236:933-941 1987)) revealed significant differences in results between rodent and bacterial tests. This failure of predictive correlation may be related to: 1) a lack of understanding of the roles mutation plays in cell transformation,
20 and 2) differences between animals and bacterial cells in terms of exposure, biological milieu, metabolism, replication and repair. While comparisons between animals and animal cells in culture provide appropriate genomic similarity, there are few known biological markers for mutation of cells in culture. The biological markers that have been identified are restricted to
25 specific cell types and therefore are of limited use for *in vivo* comparisons.

There thus remains a need to combine the simplicity of short-term *in vitro* assays with *in vivo* studies. Ultimately, reliable and realistic hazard assessment and informative mechanistic studies of mutagenesis require the development of practical methods for evaluating somatic and genetic events in
30 whole animals exposed to environmental agents. New approaches that use recombinant DNA and gene transfer techniques to develop transgenic animal models offer significant promise for *in vivo* studies of mutagenesis, cancer, birth defects and other diseases (T. L. Goldsworthy et al., *Fund. Appl. Toxicol.* 22:8-

19 (1994)). To be effective, the transgenic approach as applied to mutagenesis should include the following components: 1) unique genes with known sequences; 2) a capacity to observe changes at the single copy level; 3) an easily attainable sample population of sufficient size to allow measurement of low frequency events; and 4) the ability to determine the exact nature of the mutation, independent of the host phenotype.

Transgenic animal models have been developed. Typically, transgenic animals are produced by the transfer of novel DNA sequences into the animal's genome followed by transmission of the sequence to subsequent generations. The use of transgenic rodents that carry genes specifically designed for the quantitation of spontaneous and induced mutations is a major advancement in rapidly analyzing tissue-specific mutations in a whole organism following mutagenic agent exposure (J.C. Mirsalis et al., *Ann. Rev. Pharmacol. Toxicol.* 35:145-164 1995)).

Mutagenesis assay systems that utilize transgenic animals typically rely on bacteriophage or plasmid shuttle vectors to carry the mutation target. The basic principle in this approach is that a recombinant gene carrying a mutation target is introduced into the genome of a host animal using the shuttle vector. Following exposure to a mutagen, the target gene is recovered from the transgenic animal and serves as an indicator of mutagenesis (reviewed by R.B. Dubridge et al., *Mutagenesis* 3(1):1-9 (1988)). Two forms of bacteriophage shuttle vectors are most commonly in use. One is known as the ϕ X174 integrated shuttle vector. This vector is recovered from the transgenic host, transfected into a suitable *E. coli* host, and mutations at specific locations in the phage sequence are identified by suppressor-mediated selection on permissive and non-permissive *E. coli* (H.V. Malling et al., *Mutation Res.* 212:11-21 (1989); R.N. Winn et al., *Marine Environ. Res.* 40(3):247-265 (1995)).

Another useful mutagenesis detection system is based on a lambda (λ) phage-based recombinant vector which combines *cos* site packaging for recovery of the phage sequence from the host DNA with the use of the *lacI* or *lacZ* target gene for mutation detection (J.S. Lebkowski et al., *Proc. Natl. Acad. Sci.* 82:8606-8610 (1985); J.A. Gossen et al., *Proc. Natl. Acad. Sci.* 86:7971-7975 (1989)).

Mutation-induced inactivation of the *lac* genes is detected after recovery of the shuttle sequence from the transgenic host, typically via complementation assay in *E. coli*. See U.S. Pat. Nos. 5,589,155 (Sorge et al., Dec. 31, 1996); 5,347,075 (Sorge, Sept. 13, 1994); and 5,510,099 (Short et al., 5 Apr. 23, 1996), the texts of which are incorporated by reference, in their entirety, as if fully set forth herein. A mutation detection system based on the *lacI* gene as the mutation target, known by the tradename BIG BLUE, is commercially available from Stratagene Inc. (La Jolla, CA). The vector used in BIG BLUE mutagenesis detection system is known as λ LIZ, and the genetic map 10 of this vector is shown in Fig. 1.

The λ LIZ vector contains an additional mutagenesis target in the form of the *cII* region (see Fig. 1). Mutations in the *cII* gene and at certain related locations in the *cII* region can be detected by evaluating whether an *E. coli* host that has been infected with the shuttle sequence recovered from the 15 transgenic host can multiply through the lytic or the lysogenic cycle (J.L. Jakubczak et al., *Proc. Natl. Acad. Sci. U.S.A.*, 93:9073-9078 (1996)). The commitment to either lysis or lysogeny made by lambda phage upon infection of an *E. coli* cell is regulated by a group of proteins, one of which is the product of the *cII* gene. Mutagenesis detection packaging and selection systems based 20 upon the *cII* region as the mutation target sequence are commercially available from Stratagene Inc., La Jolla, CA (available under tradename λ SELECT-cII) and Epicentre Technologies, Madison, WI (available under the tradename MutaPlax *cII*-Select Packaging and Selection Kit).

To date, the lambda (λ) phage-based recombinant vectors 25 disclosed in U.S. Pat. Nos. 5,589,155, 5,347,075, and 5,510,099; European Patent No. 0289121; and Japanese Patent No. 2618973, each of which is incorporated herein in its entirety, have been used successfully for detection of mutagenic events only in selected mammalian cell lines, mice and rats. Alternative animal models are thus much needed. Fish as transgenic hosts are 30 especially desirable because they are environmentally relevant models for health risk assessment of aquatic and marine systems. There is also increasing appreciation of their suitability for biomedical applications. The fish is an

alternative, nonmammalian animal model that can be used to refine, reduce or replace traditional animal models used in research and testing.

Summary of the Invention

5

The present invention provides a transgenic fish containing a genomically integrated bacteriophage lambda-derived transgene construct. The invention further includes a transgenic fish gamete, including a transgenic fish egg or sperm cell, a transgenic fish embryo, or other transgenic fish cell or
10 cluster of cells, whether haploid, diploid, triploid, or other zygosity, which contain a genomically integrated bacteriophage lambda-derived transgene construct. A transgene construct that is "bacteriophage lambda-derived" is a construct that is based on the bacteriophage lambda. Preferably, the bacteriophage lambda-derived transgene construct is genomically integrated into
15 the fish's somatic and germ cells such that it is stable and inheritable. Progeny of a transgenic fish containing a genomically integrated bacteriophage lambda-derived transgene construct, and transgenic fish derived from a transgenic fish egg, sperm cell, embryo, or other cell containing a genomically integrated bacteriophage lambda-derived transgene construct, are also included in the
20 invention. A fish is "derived from" a transgenic fish egg, sperm cell, embryo or other cell if the transgenic fish egg, sperm cell, embryo or other cell contributes DNA to the fish's genomic DNA. For example, a transgenic embryo of the invention can develop into a transgenic fish of the invention; a transgenic egg of the invention can be fertilized to create a transgenic embryo of the invention that
25 develops into a transgenic fish of the invention; a transgenic sperm cell of the invention can be used to fertilize an egg to create a transgenic embryo of the invention that develops into a transgenic fish of the invention; and a transgenic cell of the invention can be used to clone a transgenic fish of the invention. In some preferred embodiments of the invention, the transgenic fish is sterile.

30

The transgenic fish of the invention is preferably a teleost (boney) fish, but also includes a cartilaginous fish. Conveniently, the transgenic fish can be selected from among the well-known group of laboratory model fish which include medaka, zebrafish, mummichog, killifish, channel catfish, common carp

and trout. In a particularly preferred embodiment, the transgenic fish is a medaka.

Further, the present invention includes a cell line derived from a transgenic fish embryo or other transgenic fish cell of the invention, which
5 contains a genomically integrated bacteriophage lambda-derived transgene construct.

The bacteriophage lambda-derived transgene construct preferably comprises an excisable test nucleic acid sequence that contains at least one copy of an assayable mutation target nucleic acid sequence. The assayable mutation
10 target nucleic acid sequence is heterologous with respect to the fish genome. Two or more different assayable mutation target nucleic acid sequences can optionally be present in the bacteriophage lambda-derived transgene construct. In a particularly preferred embodiment, a mutation in the assayable mutation target nucleic acid sequence is detectable via bioassay in a bacterial cell, such as
15 an *E. coli* cell, into which the assayable mutation target nucleic acid sequence has been introduced. In this regard, a transgenic fish of the invention that has a triploid genome is especially preferred because the larger amount of DNA in triploid genomes increases the efficiency of DNA recovery. An increase in the amount of DNA recovered has many advantages. For example, it allows for
20 more efficient detection of the mutation target nucleic acid. Moreover, fish having a triploid genome are typically sterile, which may be desirable for certain applications or studies.

The assayable mutation target nucleic acid sequence preferably contains at least one nucleic acid sequence selected from the group consisting of
25 the *lacI* gene, the *lacZ* gene, the *lac* promoter sequence, the *cII* gene, the *cII* mRNA ribosome binding site, and the *cII* protein-activated P_{RE} promoter.

The invention further includes a genomically identical population of transgenic fish, each of whose somatic and germ cells contain a genomically integrated bacteriophage lambda-derived transgene construct. The genomically
30 identical population is a unisex population and can be male or female.

Preferably, the genomically integrated bacteriophage lambda-derived transgene construct present in the genomically identical population contains an excisable test nucleic acid sequence comprising at least one copy of one or more assayable

mutation target nucleic acid sequences. Preferred embodiments of the genomically identical transgenic fish population are essentially as described for the transgenic fish of the invention. In an alternative embodiment, the invention includes a population of transgenic fish, i.e., an in-bred line, the members of which are not necessarily genomically identical but are homozygous with respect to the bacteriophage lambda-derived transgene construct.

Brief Description of the Drawings

Figure 1 is a schematic diagram of the BIG BLUE λ LIZ shuttle vector (~45.5 kb) (Stratagene, Inc., La Jolla, CA); the expanded regions show (A) the area important for a plaque-color-screening assay to detect mutations, which contains *lacI*, and (B) the area important for a selection assay based upon mutation in the *cII* region.

Figure 2 depicts (A) the nucleotide sequence (SEQ ID NO:1) of the lambda *cII* gene and the surrounding regions in the BIG BLUE λ LIZ Shuttle Vector, as shown at page 15 in the Instruction Manual for the λ Select-*cII* Mutation Detection System for Big Blue Rodents (Catalog #7210210, Revision #028001, Stratagene, La Jolla, CA), and the amino acid sequence (SEQ ID NO:2) of the *cII* protein; and (B) the nucleotide sequence of the lambda *cII* gene (SEQ ID NO:3) and location of primer binding sites, taken from *cII* Primers Product Information Sheet (Cat. Nos. P67PL1, P67PL2, Epicentre Technologies, Madison, WI).

Figure 3 is schematic diagram that illustrates selection assays based on the mutations in the (A) *cII* region and the (B) *lac* region of the BIG BLUE λ LIZ shuttle vector shown in Fig. 1.

Detailed Description of the Invention

Host Organism

There are many advantages to the use of fish to detect mutagenic agents or events. Fish are easily handled, manipulated, and observed without compromising natural development, and present opportunities for studies on multiple exposure routes via aqueous media. They exhibit excellent agent-specific responses to a variety of toxicants. Teleost fish, also known as the modern boney fishes, constitute the largest and most diverse division of vertebrates, with over 20,000 known species. Their diversity and phylogenetic positions make them ideal for comparative toxicological studies, which may allow more insight into basic mechanisms than would studies limited to mammalian models alone (D. A. Powers, *Science* 246:352-358 (1989)). Certain teleost fish, commonly referred to as laboratory aquarium fish or laboratory model species, have been extensively studied in research settings and are thus especially well-suited as transgenic hosts. Laboratory model species include, but are not limited to, medaka (*Oryzias latipes*), zebrafish (*Danio rerio*), mummichog (*Fundulus heteroclitus*), killifish (*Fundulus* spp.), channel catfish (*Ictalurus punctatus*), common carp (*Cyprinus carpio*) and trout. Cartilaginous fish such as sharks and rays, also known as chondrichthyes fish, are also suitable transgenic host organisms.

In addition to playing an important role in comparative mutagenesis studies, it is anticipated that the transgenic fish models may ultimately facilitate a broad range of exposure regimens such as long-term low-dose chronic exposures, controlled field-based *in situ* exposures or large-scale mesocosms, that were previously difficult or impossible to perform. Fish can be conveniently used for zygote-to-adult exposure studies, and offer flexibility in study designs related to numbers of exposure groups and exposure schedules.

Fish eggs are large, abundant, and often translucent, and can be fertilized *in vitro*. The resulting embryos are easily maintained and develop externally, obviating the need for reintroduction of the embryo into a receptive female. In addition, laboratory analyses can generally be performed more
5 rapidly and at lower cost compared to rodent assays.

Heterologous genes have been introduced into fish beginning in 1985. Among these heterologous genes that have been introduced into fish include genes that code for growth hormones (human, rat, rainbow trout), chicken delta-crystalline protein, *E. coli* β -galactosidase, *E. coli*
10 neomycin-resistance, and anti-freeze protein. However, numerous problems have been encountered in producing transgenic fish having stable, inheritable genomically integrated transgenes. For example, mosaicism is a common problem in the creation of transgenic fish. Mosaic organisms do not contain the transgene in every somatic and germ cell, and thus may not be capable of
15 producing transgenic offspring. Mosaicism arises from fact that microinjection of heterologous DNA into a fish embryo often delivers the heterologous DNA to the cytoplasm rather than the cell nucleus.

Despite the evolutionary distance between fish and humans, there is increasing evidence for correlation between environmentally-induced fish and
20 human diseases. Fish can be exposed to different concentrations of known or suspected toxicants and can provide fundamental information related to substance toxicity and carcinogenicity/mutagenicity. The use of fish in carcinogenesis research, in particular, has received considerable attention related to the potential of fish for identifying and predicting human health effects (W.F.
25 Hawkins et al., Chapter 14 in G.M. Rand, ed., *Fundamentals of Aquatic Toxicology: Effects, Environmental Fate, and Risk Assessment*, Taylor and Francis. 421-446 (1995); J.D. Hendricks, *In* L.J. Weber, ed., *Aquatic Toxicology*, Raven Press, New York. 149-211 (1982); J.J. Black, *In* J. Saxena, ed., *Hazard Assessment of Chemicals: Current Developments*, Vol. 3. Academic
30 Press, New York. 181-232 (1984); C.D. Metcalfe, *CRC Rev. Aquat. Sci.* 1:111-129 (1989)). The fact that many fish species appear to be sensitive to the carcinogenic effects of certain chemicals while having low spontaneous rates of neoplasia supports the use of fish in various assays as alternatives or

supplements to rodent chronic bioassays (G. D. Bailey et al., *Environ. Health Perspect.* 71:147-153 (1987); T. Ishikawa et al., *J. Toxicol. Environ. Health* 5:537-550 (1977); P. Masahito et al., *Jpn. J. Cancer Res.* 79:545-555 (1988)).

The genetics, developmental biology and embryology of medaka
5 (*Oryzias latipes*) are well-documented, and specific developmental stages have
been extensively characterized (T.O. Yamamoto, *Medaka (killifish): Biology
and Strains*. Keigaku Publishing Co., Tokyo, Japan. (1975)). Medaka is
typically used to study aspects of various diseases in which large numbers of
experimental organisms are required, such as in low-dose risk assessment, as
10 well as to examine factors that only slightly increase hazard exposure risk (W.W.
Walker, W.E. Hawkins, R.M. Overstreet, and M.A. Friedman, "A small fish
model for assessing cancer risk at low carcinogen concentrations," *Toxicologist*
302 (1992)). The use of medaka in biomedical research, especially as a
carcinogenesis model related to the potential for identifying and predicting
15 human effects from toxicant exposure, has received considerable attention in
recent years (W.E. Hawkins et al., Chapter 14 in G.M. Rand, ed., *Fundamentals
of Aquatic Toxicology: Effects, Environmental Fate, and Risk Assessment*,
Taylor and Francis. 421-446 (1995); J.D. Hendricks, In L.J. Weber, ed., *Aquatic
Toxicology*, Raven Press, New York. 149-211 (1982); J.J. Black, In J. Saxena,
20 ed., *Hazard Assessment of Chemicals: Current Developments*, Vol. 3. Academic
Press, New York. 181-232 (1984); and C.D. Metcalfe, *CRC Rev. Aquat. Sci.*
1:111-129 (1989)). The sensitivity of medaka to many carcinogens, the
availability of specimens, and the degree of control that can be maintained over
extraneous factors all contribute to this small fish being one of the most widely
25 used species for studies in comparative toxicology (W.E. Hawkins et al., Chapter
14 in G.M. Rand, ed., *Fundamentals of Aquatic Toxicology: Effects,
Environmental Fate, and Risk Assessment*, Taylor and Francis. 421-446 (1995)),
the biology of hepatic neoplasia (D.E. Hinton et al., *Aquat. Toxicol.* 11:77-112
(1988)), oncogene activation (R.J. Van Beneden et al., *Cancer Res.* 50:5671s-
30 5674s (1990)), DNA repair (T. Ishikawa et al., *Natl. Cancer Inst. Monograph*
65:35-43 (1984)), and mutagenesis (R.N. Winn et al., *Marine Environ. Res.*
40(3):247-265 (1995)).

Medaka offer numerous advantages for transgenic development such as small size (about 2.5 cm), relatively short generation time (1-2 months), and prolific capacity to reproduce (more than 3,000 eggs/female in a single breeding season). Spawning can be induced year-round by maintaining breeding stocks at 25-28°C and eggs usually hatch in 10 days at 25°C. Eggs are translucent, which greatly facilitates the positioning of fine glass needles for DNA microinjection. Medaka was the first transgenic fish species produced to demonstrate successful foreign gene expression (K. Ozato et al., *Cell Differ.* 19:237-244 (1986)). Subsequently, numerous transgenic medaka have been produced that carry a variety of transgenes (e.g. K. Inoue et al., *Cell Differ. Dev.* 27(1):57-68 (1989); E. Tamiya et al., *Nucleic Acids Res.* 18:1072 (1990); K. Inoue et al., *Cell Differ. Dev.* 29(2):123-128 (1990); J. Lu et al., *Mol. Marine Biol. and Biotechnol.* 1(4/5):366-375 (1992); H.J. Tsu et al., *Mol. Mar. Biol. Biotechnol.* 4(1):1-9 (1995); R.N. Winn et al., *Marine Env. Res.* 40(3):247-265 (1995)).

As noted above, the invention is intended to further encompass progeny of a transgenic fish containing a genomically integrated bacteriophage lambda-derived transgene construct, as well as transgenic fish derived from a transgenic fish egg, sperm cell, embryo, or other cell containing a genomically integrated bacteriophage lambda-derived transgene construct. "Progeny", as the term is used herein, can result from breeding two transgenic fish of the invention, or from breeding a first transgenic fish of the invention to a second fish that is not a transgenic fish of the invention. In the latter case, the second fish can, for example, be a wild-type fish, a specialized strain of fish, a mutant fish, or another transgenic fish. The hybrid progeny of these matings have the benefits of the transgene for mutation detection combined with the benefits derived from these other lineages.

Definitions

An "expression vector" is a nucleic acid molecule containing a nucleotide sequence that is expressed in a host cell. Typically, the expression vector is a DNA molecule containing a gene, and expression of the gene is under the control of regulatory elements that can, but need not, include one or more constitutive or inducible promoters, tissue-specific regulatory elements, and enhancers. Such a gene or nucleic acid sequence is said to be "operably linked to" the regulatory elements.

A "cloning vector" is a nucleic acid molecule, typically a DNA molecule, that has the capability of replicating autonomously in a host cell. The cloning vector can, for example, be a plasmid, cosmid, or bacteriophage, and can be linear or circular. Cloning vectors typically contain one or more restriction endonuclease recognition sites at which foreign nucleic acid sequences can be inserted in a determinable fashion without loss of an essential biological function of the vector, as well as a marker sequence that is suitable for use in the identification and selection of cells transformed with the cloning vector. Marker genes typically include nucleic acid sequences that encode polypeptides which can confer a phenotypic characteristic to the transformed cell, such as antibiotic resistance, test compound metabolism, and the like.

The terms "exogenous" or "heterologous," which are used interchangeably herein, denote some item, typically a nucleic acid sequence, that is foreign to its surroundings. In particular, the terms apply to nucleic acid sequences that have been inserted in to a host organism, but are not found in the normal genetic complement (i.e., genome) of the host organism. A gene that is heterologous with respect to an organism into which it has been inserted or transferred is sometimes referred to herein as a "transgene." A "transgenic" animal or host is an animal having one or more cells that contain exogenous (heterologous) nucleic acid sequences, including expression vectors. Although introduction of the heterologous nucleic acids into a host cell is not limited to any particular mode of delivery, microinjection of the heterologous DNA is preferred. Microinjection is labor-intensive and time-consuming, but when practiced in accordance with the present invention results in a greater likelihood of introducing the DNA into the cell nucleus as opposed to the cytoplasm.

While advances have been made relating to other methods of gene transfer such as electroporation of eggs (e.g., K. Inoue et al., *Cell Differ. Dev.* 29(2):123-128 (1990); F. Muller et al., *FEBS Lett.*, 324 (1):27-32 (1993); Y. Murakami et al., *J. Biotechnol.*, 34 (1):35-42 (1994); F. Muller et al., *Mol. Mar. Biol. Biotechnol.* 1 (4-5):276-81 (1992)) and sperm (Symonds et al., 1994; D.A. Powers et al., *Mol. Mar. Biol. Biotechnol.*, 1 (4-5):301-8 (1992)); particle gun bombardment (A.V. Zelenin et al., *FEBS Lett.*, 287 (1-2):118-20 (1991); liposomes (J. Szelei et al., *Biochem. J.*, 259 (2):549-53 (1989); and retroviral vectors (J.K. Lu et al., *Mol. Mar. Biol. Biotechnol.*, 6 (4):289-95 (1997)); these procedures only rarely result in transgene integration and germline transmission (K. Inoue et al., *Cell Differ. Dev.* 29(2):123-128 (1990)).

Nonetheless, the invention is not intended to be limited to any particular method of introducing the heterologous DNA into the host organism. Preferably, the heterologous nucleic acid sequences are stably integrated into the host genome and are inheritable.

A "genomically identical" population of transgenic fish is one wherein each fish has the same genomic DNA as the others in the population; this can also be referred to as a clonal population. Members of a genomically identical population are produced by cloning or inbreeding within the population rather than by mating with non-genomically identical fish (see, e.g., D.C. Streisinger et al., *Nature*, 291:293-296 (1981); W. Driever et al., *Trends Genet.*, 10 (5): 152-159 (1994)). Zygosity of the genomically identical population can be haploid, diploid or triploid. A genomically identical population is a single sex (unisex) population and can be male or female. A genomically identical female population can be produced, for example, by gynogenesis, wherein sperm is used to activate the egg but does not contribute genomic DNA to the developing organism (J.H. Postlethwait et al., *Trends Genet.*, 13(5):183-190 (1997)). Methods for making a genomically identical male population include heat shock or irradiation.

A nucleotide sequence that is "excisable" from genomic DNA is one that can be isolated from the genomic DNA of the host animal, as by cutting the nucleotide sequence at one or more predetermined sites, for example at a lambda bacteriophage *cos* site. An "assayable" mutation target nucleic acid

sequence is one wherein a mutated form of the nucleic acid sequence can be distinguished from the nonmutated form of the nucleic acid sequence, either directly or indirectly, as by using a laboratory assay or other detection procedure. Preferably, the presence or absence of a mutation in the nucleic acid sequence is detectable by way of a chemical or biological assay. Detection can be mediated through the use of "reporter" nucleic acid sequences. For example, in a *lac* operon-based mutation detection system, a mutation in a *lacI* mutation target gene affects the expression of the *lacZ* reporter gene, and expression of the reporter gene is detectable in an *E. coli* host by assaying the ability of the host to produce the *lacZ* gene product (β -galactosidase) and thus metabolize a chromogenic substrate.

The term "mutagen" is to be broadly understood as meaning any mutagenic or potentially mutagenic agent or event, including a mutagenic chemical compound, such as a toxicant, or exposure to radiation, including but not limited to alpha, beta, or gamma emissions from an radioisotope, electromagnetic radiation of any frequency, such as x-ray, ultraviolet, or infrared radiation, exposure to an electromagnetic field (EMF), and the like.

Mutation Target Nucleic Acid Sequences

The assayable mutation target nucleic acid sequences present in the preferred transgenic fish of the invention are conveniently included in a bacteriophage λ shuttle vector. Use of a shuttle vector facilitates both introduction of DNA into the fish and recovery or rescue of the DNA from the fish. The shuttle vector preferably includes an excisable test nucleic acid sequence that contains at least one copy of at least one assayable mutation target nucleic acid sequence. The shuttle vector may, however, contain multiple copies of a particular target sequence, and/or two or more different assayable mutation target nucleic acid sequences.

In one preferred embodiment, the assayable mutation target nucleic acid sequence comprises at least one nucleotide sequence selected from the nucleotide sequences of the *lac* operon. Preferably, the assayable mutation target nucleic acid sequence is at least one of the *lacI* gene, the *lacZ* gene, or the

lac promoter sequence. More preferably, the assayable mutation target nucleic acid sequence is the *lacI* gene.

- In another preferred embodiment, the assayable mutation target nucleic acid sequence comprises at least one nucleotide sequence selected from
- 5 the nucleotide sequences of the *cII* region of bacteriophage lambda. The *cII* region of bacteriophage lambda is approximately 300 base pairs in length and encompasses, among other sequences, the P_R promoter, the *cII* gene (which encodes the cII protein), the *cI* gene (which encodes the cI repressor protein), the *cII* mRNA ribosome binding site, and the cII protein-activated P_{RE} promoter.
- 10 Preferably, the assayable mutation target nucleic acid sequence is at least one of the *cII* gene, the *cII* mRNA ribosome binding site gene, or the P_{RE} promoter sequence. More preferably, the assayable mutation target nucleic acid sequence is the *cII* gene.

- The nucleotide sequence of the bacteriophage lambda *cII* region,
- 15 as disclosed in the Instruction Manual for the λ Select-*cII* Mutation Detection System for Big Blue Rodents (Catalog #7210210, Revision #028001, incorporated herein in its entirety) is set forth in Figure 2A. The λ base positions (λ bp) displayed in the far left column are derived from the base positions of the wild-type lambda sequence (GenBank database accession numbers J02459,
- 20 M17233, M24325, V00636 and X00906). The numbering convention used for the *cII* nucleotide positions (nt) listed in the second column is that of Schwarz et al. (*Nature*, 272: 410-414 (1978)). The positions of the *cII* PCR and sequencing primers used in the Stratagene mutation detection system are labeled. The *cII* primers used for PCR or sequencing of the *cII* gene in the alternative Epicentre
- 25 Technologies mutation detection system are shown in Figure 2B.

The cII protein activates transcriptional promoters in lambda that are essential for lysogenization in a bacterial host. Mutations in the *cII* region that lower the levels of cII protein result in a decreased ability of lambda to lysogenize. When grown under conditions that favor lysogeny in a suitable

bacterial host cell, preferably an *hfl* *E. coli* strain, lambda prophages carrying such mutations survive only by the entering the lytic pathway of development, forming plaques. Prophages that are wild type for the *cII* region integrate into the bacterial host genome and become part of the developing bacterial lawn.

5 In a particularly preferred embodiment of the invention, the shuttle vector used to transfect the host fish cell is BIG BLUE λ LIZ shuttle vector. This shuttle vector contains at least two mutation target regions: one derived from the *lac* operon, and the other comprising the lambda *cII* region. The packaging extract provided by Stratagene, Inc., recognizes the *cos* sites of
10 the integrated lambda DNA and packages the sequences between the *cos* sites into phage particles. After the shuttle vector is recovered from the fish, the vector is packaged and introduced into an appropriate bacterial host *E. coli*, for example by using packaging extracts commercially available from Stratagene, Inc. (La Jolla, CA) or Epicentre Technologies (Madison, WI). Mutations in the
15 *lac* region of the shuttle vector can be conveniently detected in a host *E. coli* cell using procedures described in the BIG BLUE Transgenic Rodents Mutagenesis Assay System Instruction Manual (Stratagene, La Jolla, CA). Alternatively or additionally, mutations in the *cII* region of the shuttle vector can be conveniently detected in a host *E. coli* cell using procedures described in either the λ Select-
20 *cII* Mutation Detection System for BIG BLUE Rodents Instruction Manual (Stratagene, La Jolla, CA) or described in written instruction materials that accompany the Epicentre Technologies (Madison, WI) kit.

The λ LIZ shuttle vector (Fig. 1) includes the *E. coli lacI* gene as a mutation target and *alacZ* as the reporter gene. The system uses a forward
25 mutation assay capable of detecting a wide spectrum of mutations in the *lacI* target. The *lacI* target is the most well-characterized target gene for mutagenesis, with more than 30,000 mutants identified in prokaryotic and eukaryotic cells (see, e.g., S.W. Kohler et al., *Proc. Natl. Acad. Sci. U.S.A.* 88:7958-7962 (1991)). Following mutagen exposure, genomic DNA is isolated
30 from the tissues of interest. The shuttle vector is recovered or "rescued" from the genomic DNA by *in vitro* packaging, preferably by utilizing TRANSPACK packaging extract (Stratagene, Inc., La Jolla, CA) which packages the vector into viable phage particles. Mutations in *lacI* are detected histologically in *E. coli*, as

described below. The BIG BLUE *lac*-based assay system has been shown to detect most classes of mutations, including base substitutions, single-base frameshifts, insertions, duplications, and deletions (J.C. Mirsalis et al., *Ann. Rev. Pharmacol. Toxicol.* 35:145-164 (1995); G.S. Provost et al., *Mutation Res.* 5 288:133-149 (1993)).

Mutations that interfere with the *lac* repression lead to transcription of the *alacZ* region, allowing formation of intact LacZ protein, β -galactosidase, through alpha complementation within the bacterial host. Mutations are analyzed by isolating genomic DNA from the transgenic animal and recovering the shuttle vector as functional bacteriophage using *in vitro* packaging. The packaging extract is preferably free of all known restriction systems (restriction minus) and permits efficient recovery of the phage independent of DNA methylation as is known in the art. The individually packaged phage infect and lyse *E. coli* bacterial hosts that produce 10 complementing portions of the LacZ protein. In the presence of a chromogenic substrate, functional mutations in *lacI* or the *lac* operator are seen as blue plaques on a lawn of clear nonmutant plaques. Mutant frequency is expressed as the ratio of the number of blue plaques to the total number of plaques tested. Each mutant can be further analyzed by sequence analysis of the *lacI* target gene 15 without subcloning, using a modified polymerase chain reaction (PCR) method. 20

Alternatively, the *cII* gene in the λ LIZ shuttle vector (Fig. 1) can serve as a mutation target. Selection of mutants in the λ *Select-cII* mutation detection system is based on the ability of bacteriophage λ to multiply through either the lytic or lysogenic cycle in *E. coli* host cells. The commitment to either 25 lysis or lysogeny is made by lambda upon infection of an *E. coli* host cell and is regulated by a series of proteins, one of which is the product of the *cII* gene. Transcription of the *cII* gene is initiated at the P_R promoter, and the *cII* gene is transcribed as part of a polycistronic message, which includes *cro*, *O*, *P*, and *Q*. At two lambda promoters, the cII protein activates transcription of genes 30 essential for the lysogenic response. One of these promoters is P_{RE} , which is located within the 5' end of the *cII* gene in the orientation opposite to that of *cII* transcription. P_{RE} establishes transcription of the gene for the cI repressor protein. The cI repressor protein binds to the O_p operator, inhibiting

transcription at P_R and effectively shutting down transcription of several genes essential for the lytic response, committing the phage to the lysogenic cycle. In the λ LIZ vector, the gene for the *cl* repressor protein contains the temperature-sensitive *cl857* mutation that disables the *cl* repressor protein at 37°C, allowing
5 titering in the preferred bacterial host strain (*E. coli* G1250). Thus, a detectable mutation in the *cII* gene either impairs the function of the *cII* protein or disables the P_{RE} promoter of the *cI* gene, in either event preventing transcription of the *cI* gene. In the absence of the *cl* repressor protein, the phage multiply through the lytic cycle. In contrast, a nonmutant *cII* gene results in a functional *cl* repressor
10 protein, and the phage genome undergoes lysogenization.

Mutation Analysis

A mutated target sequence can be subjected to nucleic acid sequencing to determine the mutation spectrum characteristic of a particular
15 mutagen, or of a particular tissue, or of the action of a particular mutagen on a particular tissue. A mutation spectrum reflects the frequency of certain specific types of mutations in a population of mutants. Types of mutations include, for example, nucleotide transitions (G/C to A/T and A/T to G/C), nucleotide transversions (G/C to T/A, G/C to C/G, A/T to T/A, and A/T to C/G), and
20 frameshift mutations (e.g., +1, +2, -1 and -2). Alternatively, mutations can be identified using single nucleotide polymorphism analysis, or any other method known in the art for identifying or detecting single site mutations, insertions, deletions and frameshifts.

The mutation spectrum of a population of mutants can provide
25 much useful information. In some instances, the spectrum is characteristic of a particular mutagen or class of mutagens, and can help identify the nature of the mutagenic compound. In other instances, a change in the mutation spectrum, relative to the mutation spectrum of a control group, may be evident even though the differences in mutation frequencies (experimental vs. control) are not
30 statistically significant. In still other instances, mutation spectrum analysis can yield information about the sensitivity of different organs or tissues to a particular mutagen.

EXAMPLES

The following examples, while exemplary of the present invention, are not to be construed as specifically limiting the invention. Accordingly, variations and equivalents, now known or later developed, that would be within the purview of one skilled in the art are to be considered to fall within the scope of this invention.

Example 1. Creation of a Transgenic Fish

10

The BIG BLUE Lambda Shuttle Vector λ LIZ (Stratagene, Inc., La Jolla, CA; see the BIG BLUE Transgenic Rodent Mutagenesis Assay System Instruction Manual, specifically incorporated herein by reference, in its entirety) was used to create a transgenic medaka. Previous attempts to recover the λ LIZ bacteriophage vector from both transgenic rodents and from fish that carry low gene copy numbers (e.g., 1-3 copies) have been problematic; it is highly desirable that transgenes are present at a level of several copies per cell or more to permit a high ratio of recovered target vector per genome (M.J. Dyciaico et al., *Mutation Res.* 307:461-478 (1994)). Thus, efforts were made to increase the transgene copy number in the transgenic fish by creating a shuttle vector having multiple copies of the mutation target sequence. To this end, the λ LIZ shuttle vector (about 50 kb) was *cos*-ligated, end to end, as linear concatamers. Specifically, the *cos* sites were melted by incubating λ DNA (50 μ g) at 68°C for 5 minutes, followed by ligation overnight (4°C) with T4 ligase (0.5mm ATP, 0.06 units/ μ l ligase, 1 x ligase buffer, 714 ng/ μ l DNA) (New England Biolabs, Inc., Beverly, MA). DNA was brought up to 750 μ l volume with STE (0.1 M NaCl, 10 mM Tris HCL, 1 mM ethylenediaminetetraacetic acid, EDTA, pH 8.0) and extracted twice with phenol/chloroform, once with chloroform, and precipitated with 100% ethanol. Following centrifugation, the pellet was washed with 70% ethanol, dried, and dissolved in TE (10 mM Tris, 1 mM EDTA, pH 8.0) at a DNA concentration of 100-200 ng/ μ l, determined by conventional spectrophotometric technique. The resulting construct, possibly hundreds of kb in size, is orders of magnitude larger than other vectors (typically about 5 kb)

successfully used to create transgenic fish, such as ϕ X174 (R. Winn et al., *Marine Environ. Res.*, 40:247-265 (1995)). Prior to microinjection, the DNA was diluted to a concentration between 50-100 ng/ μ l in 5T.1E solution (5 mM Tris; 0.1 mM EDTA) and dialyzed on a filter (0.025 micron pore size, from
5 Millipore, Bedford, MA) over 5T.1E for 40 minutes. Relatively large amounts of DNA were used in each of the injections to increase the likelihood of genomic integration.

Initially, 490 one-cell stage medaka embryos were injected with heterologous DNA. Heterologous DNA was microinjected into one-cell stage
10 medaka embryos substantially according to the method of Winn et al. (*Marine Environ. Res.*, 40(3):247-265 (1995), as modified herein. To maximize incorporation of the heterologous DNA and possibly reduce the degree of mosaicism in the founders, fertilized eggs at the one-cell stage were collected by removing egg masses from the vent of the female fish beginning 2 hours prior to
15 the onset of a 16-hour light cycle and every 10-15 minutes thereafter. The gene transfer method was optimized by rigidly controlling the timing of the injection at the earliest 1-cell stage of development of the fish, in most cases within 5 minutes of fertilization. The embryos were individually separated by removing the entangling chorionic fibrils and examined to verify the one-cell stage of
20 development for efficient gene transfer. The one-cell embryos were placed within a watch-glass filled with 18‰ (parts per thousand salinity) seawater to better visualize the penetration of the injection needle and to reduce the incidence of fungal infection.

Injection of the heterologous DNA was performed with the aid of a
25 dissection microscope, micromanipulators, and an N₂ pressurized gas injection apparatus (model PLI100 commercially available from Medical Systems Corp., Greenvale, NY). The embryo was held in place with a capillary pipette (25 μ m) secured with a micromanipulator. Another capillary pipette pulled to a fine tip (1-2 μ m) secured by a micromanipulator, and attached to a gas injection
30 apparatus served to inject the embryo. The DNA solution was injected through a continuously flowing pipette into the cytoplasm of the one-cell embryo, or through the micropyle if visible. This is in contrast to the technique used in rodents, wherein the DNA solution can be directly injected into the rodent cell

nucleus. It is believed that injection through the micropyle is preferable since introduction into the cytoplasm may increase the likelihood of degradation of the DNA construct and, more important, may give rise to mosaic (or chimeric) integration of the gene in the tissues of a resultant transgenic fish. That is, not all of the cells will have the heterologous DNA integrated chromosomally. Mosaic integration of the transgene in transgenic fishes is very common and is problematic because germ-line transmission is not guaranteed even if DNA extracted from a fin clip (the typical assay for integration) indicates the founder fish carries the gene. The flow rate and the total amount of solution injected was controlled by adjusting the pressure of the gas and the duration of the injection which permitted injection of approximately 5-20 nl DNA solution.

Injected embryos were transferred into dechlorinated tap water and incubated therein using several different procedures. Some embryos were placed on 20 mm petri dishes, others were placed on cell culture plates, and others were placed in containers with air supply ("bubblers") to allow for constant aeration during growth at 26°C until hatching (about 10 to 12 days). Embryos were examined daily and any dead were removed from the dishes. An earlier attempt was made that involved incubation in Yamamoto's solution (Yamamoto, "Medaka (killifish): Biology and Strains," Keigaku Publishing Co., Tokyo, Japan, 1975) for 5 days, and then in sterile culture water until hatching in approximately 10 days, however this procedure was discontinued after reduced survival in this solution was observed. A total of 141 fish survived in this initial experiment to age 6-8 weeks (28%) and were analyzed for the presence of heterologous DNA as described below. In a second experiment, a total of 238 fish (4-6 weeks post hatch) were analyzed in the same way.

Genetic screening for the presence of integrated λ LIZ sequences and gene copy number evaluation of F₁ generation fish were simultaneously performed via PCR using a new instrument and DNA detection system. This accelerated the assessment of the positive F₁ generation fish which were not mosaic for integration of the transgene and therefore permitted the establishment of the specific high copy number lineages. The traditional method of copy number quantitation using Southern blot methods (described below) was not necessary.

Enhancements in the PCR method over the last decade have fostered the development of numerous and diverse applications. A recent enhancement facilitates real-time, quantitative analysis of the DNA amplification using fluorescence-based PCR. The analysis uses a DNA sequence detection instrument (ABI PRISM 7700 Sequence Detection System, Perkin Elmer Applied Biosystems, Foster City, CA, USA) which combines PCR with a fluorogenic 5' nuclease assay. Pairs of primers (forward and reverse) 18-25 mer oligonucleotides that anneal within the DNA sequence of interest are synthesized in order to generate an amplified product generally ranging from 60-70 base pairs (bps) in size. A 20-30 mer oligonucleotide is synthesized as a probe to anneal within the PCR product generated by the forward and reverse primers. This fluorogenic, target-specific probe comprises an oligonucleotide with both a reporter and a quencher dye attached, and anneals to the target sequence between the forward and reverse primers. The probe typically includes a FAM (6-carboxy-fluorescein) reporter dye linked to the 5' end of the oligonucleotide and TAMRA (6-carboxy-tetramethylrhodamine) attached at the 3' end of the oligonucleotide as the quencher dye. As the PCR proceeds the probe is cleaved by the 5' nuclease activity of a DNA polymerase which permits the detection of the reporter dye signal. The DNA sequence-specific fluorescence signal is generated and detected in solution during the PCR. Assuming a 100% efficiency of amplification, the threshold cycle decreased by one cycle as the concentration of the template doubles. Therefore, the input DNA target quantity can be determined based on the cycle at which fluorescent signal is first detectable. The quantitative PCR analysis incorporates kinetic analysis in which the initial copy numbers of unknowns are determined by comparison with a curve generated from samples of known initial DNA quantities.

The typical conditions for the fluorescence-based PCR were as follows: 100 ng DNA; 4 mM MgSO₄; 200 M dATP, dCTP, dGTP; 400 uM dUTP; 125 M probe; 0.625 U Ampli-taq Gold™ polymerase; 0.25 U Amerase UNG; and 1X of supplied buffer. A two stage amplification profile was used with 2 minutes at 50°C, 10 minutes at 95°C; followed by stage two with 15 seconds at 95°C, 1 minute at 60°C for a total of 30 cycles. A hold cycle at 25°C was used following completion of amplification. Samples was prepared using

sterile water in the place of DNA in the reagent mixture as no template DNA controls. Replicate serial dilutions of known quantities of DNA, were prepared to construct a standard curve from which the quantities of unknown samples were estimated.

- 5 In this example, screening for the presence of integrated λ LIZ was accomplished prior to copy number determination using a standard PCR assay on DNA isolated from excised fin tissue. Genomic DNA is extracted from caudal fin tissue excised from presumptive transgenic fish aged 1-2 months. The DNA is extracted by homogenization of the tissue in 0.3 mL 1X SSC (150 mM
- 10 NaCl, 15 mM sodium citrate; see page B.13 of Sambrook et al., Molecular Cloning, a Laboratory Manual, 2nd Ed., Cold Spring Harbor Laboratory Press, 1989), 0.5% sodium dodecyl sulfate, SDS, and 10 mg/mL proteinase K for 3 hours at 55°C. Samples were extracted twice with methylene chloride:isoamyl alcohol (24:1) containing 0.15M NaCl, precipitated with two volumes 100%
- 15 ethanol and resuspended in TE buffer (10 mM Tris, 1 mM EDTA pH 8.0). The DNA concentration is then estimated by measurement of absorbance at 260 nm. Several *lacI* sequencing primer pairs (commercially available under the trade designation BIG BLUE *lacI* PCR Primer Set, from Stratagene, La Jolla, CA) can be used to generate PCR products of about 200-800 kb. A standard
- 20 amplification temperature profile is: initial denaturation 95°C, 60 seconds; denaturation 95°C, 30 seconds; annealing 60°C, 30 seconds; and extension 72°C, 60 seconds. When using this screening method, we utilized two oligonucleotide primers, "Lambda 1" (5'-GAT GAG TTC GTG TCC GTA CAA CTG G, SEQ ID NO:4) and "Lambda 2" (5'-GGT TAT CGA AAT CAG CCA
- 25 CAG CGC C-3', SEQ ID NO:5), and PCR conditions of initial denaturation 94°C, 30 seconds; denaturation 60°C, 30 seconds; extension 72°C, 60 seconds, for 30 cycles. Electrophoresis of the PCR products on an agarose gel (1-2%) can be used to confirm amplification of the DNA fragment of appropriate size. In previous experiments, integration rate in founder (F_0) transgenic medaka has
- 30 typically been approximately 7-10%; however using the procedures set forth in this example, the integration rate jumped to 16%.

In this alternative method, copy number determination can be accomplished using a Southern blot hybridization using a biotinylated λ LIZ DNA probe and chemi-luminescent detection. Genomic DNA (5-10 μ g) from previously PCR-screened positive transgenic fish is digested with *HindIII* in the presence of 4 mM spermidine to improve digestion, followed by electrophoresis on a 0.8% agarose gel and then transfer to a nylon membrane using conventional biotechnological techniques. The membrane is baked at 80°C for 30 minutes and exposed to a UV transilluminator for 5 minutes. Prehybridization is performed at 42°C for 1 hour in a 20 mM sodium phosphate buffer (pH 7.5) containing 50% formamide, 5X SSC, 5X Denhardt's solution (Sigma Chemical Company, St. Louis, MO), 0.1% SDS and 100 μ g/mL denatured calf thymus DNA. Hybridization is performed at 42°C for 16 hours with about 20 ng of a biotinylated λ LIZ DNA probe. Membranes are washed twice for 5 minutes at 20°C in 2X SSC, 0.1% SDS, and twice for 15 min at 68°C in 0.2X SSC, 0.1% SDS. Membranes are analyzed by chemiluminescent detection according to the manufacturer's detection protocol with film exposures of 20-60 minutes (commercially available under the trade designation PHOTOTOPE CHEMILUMINESCENT DNA DETECTION KIT, from New England Biolabs, Beverly, MA). Copy number standards, prepared by adding λ LIZ DNA (equivalent to 1-50 copies per genome) to calf thymus DNA (5 μ g), are loaded adjacent to the DNA from presumptive transgenic fish. Copy number estimates can be made by using the DNA content of 2.2 pg for the diploid genome of medaka.

From the initial experiment, 9 of the 141 founders were shown to have incorporated the λ LIZ vector, and of these 9, two showed germline transmission. Likewise, in the second experiment, 53 of the 238 founders were shown to have incorporated the vector, and 13 of the 53 showed germline transmission. Together, a total of 62 fish (16% of the founder population) showed positive amplification of the λ LIZ product of the appropriate size (~50 bp), and 15 of those showed germline transmission (24%). The transgenic founders that showed germline transmission when mated with wild-type mates transmitted the DNA sequence to their offspring at frequencies of 3-40% (Table 1). The observed gene transfer frequency represents an improvement over

previous efforts, and ranks among the highest reported in other transgenic fish efforts. The variable frequencies of gene transmission to F_1 offspring demonstrated the mosaic germ-line integration characteristic of transgenic fish.

Gene copy number results for the selected members of this transgenic founder population are also shown in Table I. Of eleven transgenic lineages analyzed, five lineages carry 2 copies, including one lineage (#310) that carries in excess of 75 copies.

Table I.

Estimated gene copy number and frequency of germ-line transmission for selected transgenic λ LIZ medaka lineages

10

	Founder lineage frequency	Copy number	Germ-line transmission
15	013	1	35%
	108	1	3%
	188	1	20%
	203	~4-5	13%
	254	1	40%
20	261	~3-4	20%
	304	1	36%
	310	>75	12%
	327	~1	5%
	361	~2	10%
25	370	~2	29%

Following confirmation of positive integration of λ LIZ in the F_1 generation, the transgenic siblings were bred to produce F_2 generation fish. The quantitative fluorescence-based PCR procedures described above facilitated distinguishing the homozygous and hemizygous transgenic F_2 generation fish, thereby speeding the establishment of homozygous lines by eliminating the need to perform time-consuming traditional methods that rely on DNA hybridization techniques (i.e. Southern blots). Homozygous status was verified genetically by crossing presumptive homozygous fish with wild-type fish, in which case the presence of 100% positive transgenic offspring confirmed homozygous status. In addition, by using these quantitative fluorescence-based PCR procedures, transgenic lineages that carry multiple copies of the vector, and therefore have the greatest promise for efficient recovery of the vector, were identified.

These results compare favorably to those obtained from producing transgenic rats with the identical mutation target. In this effort, 62 transgenic founders were obtained out of a total of 379 injected embryos that survived to an age of 6-8 weeks, or 16%. For the transgenic rat study, over 12,000 Fisher 344 inbred rat embryo injections produced 257 surviving pups and resulted in 17 transgenic founders, or 6.6% (M.J. Dyciaico et al., *Mutation Res.* 307:461-478 (1994)). Although the majority of these 17 rodent founders carried less than 5 copies of λ LIZ, two founders carried more than 20 copies, such that homozygous individuals of subsequent generations carried at least 40 copies. Attempts to recover the vector from λ LIZ transgenic rats that carried only 1-2 gene copies was not possible in several lineages, and, in other low copy number lineages from which recovery was possible, the low rescue efficiencies increased the time and costs of analyses as compared to those for higher copy number animals.

Example 2. Recovery of λ LIZ Shuttle Vector

Fish tissues appear to pose a significant problem related to efficient recovery of bacteriophage and plasmid-based vectors for mutation detection. The extraction of high quality and high molecular weight genomic DNA is very important to the efficient recovery of the shuttle vector from transgenic

rodent tissues. However, repeated attempts to recover shuttle vectors from transgenic fish tissues using the procedures developed for rodents have in the past been unsuccessful.

5 *Isolation of Genomic DNA*

Initial recovery of the shuttle vector was attempted using DNA obtained from two homozygous fish lineages that carried only ~ 1-2 copies of the vector (lines #13 and 108, Table I). The vector recoveries from these fish were unsuccessful. Vector recovery from animals which carry low copy numbers has previously been shown to be problematic in transgenic rodent studies. Therefore, transgenic fish that had relatively high gene copy numbers (>5-10 copies) and demonstrated stable germ-line transmission were selected for the analysis of recovery and spontaneous mutation frequency of λ LIZ. In addition, standard procedures for isolating genomic DNA from rodents were altered so as to insure isolation of high quality genomic DNA sufficient for recovering shuttle vectors from the fish, as described below.

Transgenic F₁ generation fish (4-6 weeks old, line #310, hemizygous for ~100 copies) were either flash frozen in liquid nitrogen or quickly minced prior to placing in a dounce homogenizer containing 2 mL douncing buffer (1X SSC, 1% SDS). Fish were dounced twice only and transferred to a centrifuge tube. An additional 3 mL of dounce buffer was added to the centrifuge tube, and freshly made Proteinase K solution (150 μ L, 20 mg/mL) was then added as well. Samples were placed at 37°C for 1-1/2 hours. Tubes were inverted two times at 30 minute intervals. Samples were extracted with 5 mL 50:50 buffered phenol:chloroform by inverting 5 times followed by centrifugation at 4000 rpm for 10 minutes. Supernatant was placed in a clean centrifuge tube. and the phenol:chloroform extraction, including the centrifugation, was repeated. The supernatant was again removed, and 8M potassium acetate was added thereto to a final concentration of 1M. An equal volume of chloroform was also added, the tubes were inverted gently, and were then centrifuged as before. Supernatant was removed to a clean tube to which two volumes of 100% ethanol were added. Tubes were inverted gently several times and allowed to sit at room temperature (about 22°C) for 10 minutes.

Precipitated DNA was spooled with a flame-sealed Pasteur Pipette, dried in air, and resuspended in 50-100 μ L TE buffer (Tris 10 mM, EDTA, 1 mM, pH 7.5). Importantly, this protocol incorporates a shorter digestion time (1½ hours) at a lower temperature (37°C) compared to the standard procedure used to isolate genomic DNA from mice (wherein the digestion is carried out at 50°C to 55°C for 3 hours), thus enhancing the recovery of assayable genomic DNA from the fish.

It should be noted that this DNA extraction method can be used to recover DNA directly from an organ or a tissue of a fish. The protocol is typically carried out using reduced volumes (since the amount of biological material to be extracted is reduced), and the ethanol-precipitated DNA can be isolated by centrifugation rather than by spooling. This method offers great benefit in that it allows for the study of tissue-specific mutation frequencies.

15 *Packaging Reaction*

From these genomic fish DNAs, the λ LIZ vector was recovered and packaged into empty phage particles creating infective phage according to the protocols recommended by the manufacturer for *in vitro* packaging (TRANSPACK *in vitro* packaging extract, Stratagene, La Jolla, CA). Specifically, the packaging extract was added to 8 μ L of genomic DNA (>0.5 mg/mL), incubated at 30°C for 90 minutes, at which time the packaging reaction was terminated by adding SM buffer.

*Infection of Bacterial Host and Screening: λ --Select *cll* Assay*

The individually packaged lambda phage were used to infect the *E. coli* host strain G1250 (see Fig. 3A). The G1250 plating cell culture was mixed with diluted (titer) and undiluted packaged DNA (mutant screening). Titer plates were placed at 37°C overnight, and screening plates were placed at 24°C for 40 hours. A 40 hour incubation is preferred to the recommended 48 hour incubation to reduce mottling on the plates. Because the λ LIZ shuttle vector contains the temperature-sensitive *cl857* mutation, λ^+ multiply through the lytic cycle under the nonselective conditions, resulting in plaque formation. The rescue efficiency was determined by averaging the number of plaques on the

three titer (dilution) trays and computing the total number of plaques obtained per packaging reaction. The spontaneous mutation frequency was calculated by dividing the number of confirmed mutants by the estimated total number of plaques recovered.

- 5 An average of $2,060,000 \pm 65,000$ plaques were recovered from a single packaging reaction of line 310 hemizygous fish, indicating very high efficiency in the recovery of the phage. Table II shows the relationship between copy number and phage recovery, showing that attempts to recover the phage from low copy number lineages were not successful. Recovery was achieved
- 10 from lines 203 and 310, with line 310 exhibiting exceptional efficiency, particularly in comparison to the 625,000 plaques recovered from Big Blue mouse DNA analyzed simultaneously. A minimum of 300,000 plaques is recommended for statistical purposes.

15 **Table II**

Relationship of copy number and efficiency of λ recovery

Founder lineage	Copy number	Recovery (pfu)
13	1	none
108	1	none
188	1	(1)
203	4-5	0.05×10^5
254	1	(1)
261	3-4	none
304	1	(1)
310	>75	$3-16 \times 10^5$
370	2	none

(1) Phage rescue was not attempted from these samples.

Infection of Bacterial Host and Screening--lac Assay

Individually packaged lambda phage from four of the fish (lineage 310) were absorbed onto *E. coli* SCS-8 cells (commercially available from Stratagene), mixed with top agarose (NZY medium) with 0.7% agarose) containing (1.5 mg/mL) 5-bromo-4-chloro-3-indolyl- β -D-galactopyranoside (X-gal) and plated onto 25 x 25 cm square bioassay trays containing 250 mL NZY bottom agar (NZY medium with 1.5% agar) (see Fig. 3B). Packaged control λ were plated on VCS-257 cells using serial dilutions. Alternatively, the absorbed phage mixture can be sampled, diluted and plated in duplicate or triplicate as plating efficiency plates to determine the total number of phage per packaging reaction kit. The number of plates per packaging reaction was adjusted to give a target packaging density of about 15,000 plaques per plate (24 plaques/cm²). Experiments included color control plates using a series of *lacI* mutants that produce a spectrum of color intensities from very faint (CM-0) to intense dark blue (CM-3). Plates were incubated for 16-18 hours at 37°C.

After incubation, plates were visually screened for blue mutant plaques using a red enhancement screening filter. The total number of plaques was counted on plating efficiency plates to calculate total plaques screened. The efficiency of the packaging extract was determined by counting the plaques on control λ plate. Bacterial lawn and plaques are blue because VCS257 contains and expresses the intact *lacZ* gene.

The efficiency of the packaging extract was determined by:

$$\frac{(\# \text{ of plaques}) \times (\text{dilution factor}) \times (\text{total packaging volume})}{(\mu\text{g control } \lambda) \times (\mu\text{g plated})} = \text{pfu/ mg DNA}$$

and was expressed as plaque forming units (pfu) per mg DNA.

The rescue efficiency was determined by averaging the number of plaques on the dilution trays and computing the total number of plaques obtained per packaging reaction. A total of over 500,000 pfu were recovered from each of the four samples. The efficiency of the recovery can be evaluated and related to the amount of DNA necessary to obtain a recommended 300,000-500,000 plaque forming units (pfu)/analysis.

Example 3. Mutagen Exposure Experiments

10 *Mutagens*

N-ethyl-*N*-nitrosourea (ENU) is a well-characterized mutagen and carcinogen that acts by direct ethylation of oxygen and nitrogen in the bases of DNA (B. Singer, *Nature* 264:333-339 (1976)); B. Singer et al., *Nature* 276:85-88 (1978)). ENU is a useful agent for the study of the relationship of mutation to DNA repair, replication, adduct persistence, and cell differentiation (J.G. Burkhardt et al., *Mutation Res.* 292:69-81 (1993)). A limited study of ENU-induced mutation has been previously performed using medaka (A. Shimada et al., *Zoological Sci. (Tokyo)* 8(6):1127 (1991); A. Shimada et al., *Zoological Sci. (Tokyo)* 7(6):1053 (1990)).

20 Dimethylnitrosamine (DMN) is a methylating agent and potent liver carcinogen in mice (International Agency for Research on Cancer (IARC), IARC Monographs on the Evaluation of the Carcinogenic Risk of Chemicals to Humans, Vol. 7, IARC, Lyon. 253p (1974)). Since cell proliferation is an important parameter for the induction of mutations, it is important to consider the influence of fixation time of adducts on the induction of mutations. DMN has been used as a representative mutagen which forms methylated DNA adducts in transgenic mice (J. C. Mirsalis et al., *Mutagenesis* 8:265-271 (1993)). DMN is among the nitrosamines that have induced hepatocarcinogenesis in fish with progressive stages similar to those characterized in rodent hepatic neoplasia (W.E. Hawkins et al., Chapter 14 in G.M. Rand, ed., *Fundamentals of Aquatic Toxicology: Effects, Environmental Fate, and Risk Assessment*, Taylor and Francis. 421-446 (1995)).

Exemplary Exposure Regimen

Fish mutagen exposure is performed by using protocols employed in previous transgenic rodent studies (e.g., M.J. Dyciaico et al., *Mutation Res.* 307:461-478 (1994); B.J. Rogers et al., *Mutation Res.* 327:57-66 (1995)).

- 5 Specifically, one regimen consists of a single-pulse 4 hour exposure; another consists of a multiple-pulse exposure regimen consisting of 2 pulses for a 4 hour exposure for 7 days. Prior to final exposure, range-finding assays for each of the two model compounds are conducted wherein fish are exposed in single-pulse and multiple-pulse treatments over a range of mutagen concentrations to
- 10 determine the upper exposure concentration expected to produce minimal or zero lethality (about 50% of the lowest exposure concentration at which deaths occur). In previous mutagen exposure studies using λ LIZ transgenic mice, doses of 50-250 mg/kg of ENU (LD_{50} ~350 mg/kg) were used to obtain at least a two-fold mutation frequency induction. The range finding trials in the present
- 15 example are 0, 25, 50 and 100 ppm of ENU and DMN.

- Fish are placed in replicated 40 mL acid-washed borosilicate glass test chambers (20/chamber). The mutagen solutions, at concentrations determined by dilution factors, are added to water immediately prior to the initiation of exposure. Toxicant-free treatments accompany all exposures as
- 20 controls. For the multiple-pulse regimen, fish are transferred and held in clean water to await the next exposure. The fish are not fed during the exposure period. During the exposure phase, fish are monitored regularly for any visual signs of distress. Any dead or moribund fish are removed.

- Following the final exposure series, fish are rinsed and transferred
- 25 to grow-out aquaria for a prescribed expression time. During this time fish are held in aquaria in toxicant-free water at 26°C on a 12:12 hour light:dark regime and fed twice daily. The fish are visually monitored at least twice daily during feeding. Any fish that have died, or that exhibit abnormal swimming behaviors or other visible signs of distress, are be removed from the aquaria. Fish that
- 30 show apparent formation of external neoplasms are removed, sacrificed, and saved for further analyses, if desired.

The influence of expression time on the mutation frequency is evaluated by sampling fish at 5, 10, and 15 days following exposure. Expression time, or fixation time, is defined as the time allowed between dosing and sacrificing the animals for mutation assays. Some expression time is required, especially after single-dose administrations, to permit uptake and distribution of the chemical, metabolic activation to a DNA-reactive form, formation of adducts, and at least one cell division to "fix" the adduct as a heritable mutation. Although there is no data currently available on mutations rates, DNA repair, or cell proliferation in transgenic medaka, it is believed that a long expression time (>7 days) would allow adequate time to either repair DNA adducts or fix adducts as mutations. This reduces the possibility the DNA adducts will be mutated by the host *E. coli* by decreasing the number of DNA adducts present on the recovered target DNA.

15 *ENU Exposure and Analysis*

Medaka (50 hemizygous male and female, 4-8 weeks old) were exposed to 100 ppm and 200 ppm ENU for 1 hour in dechlorinated tap water in replicate 500 mL beakers. They were then transferred to clean water (no ENU) and held for 15 days. At that point the fish were quickly sacrificed using an overdose of MS-222 (tricaine methanesulfonate), flash frozen in liquid nitrogen, and stored at -70°C.

Mutagenesis in experimental and control fish was analyzed using the *cII* assay system as described in Example 2. The results in Table III show a greater than two-fold increase in *cII* mutations in the 100 ppm-exposed fish compared to the control fish, and an induced mutation frequency in the 200 ppm-exposed fish of nearly four times that of the controls. The overall spontaneous mutation frequency was determined to be $2.92 \pm 0.68 \times 10^{-5}$ for the *cII* target.

Table III**N-Nitroso-N-Ethylurea (ENU) Exposure Summary**

5

	Recovery (x 10 ⁶) pfu	Number of Mutants	Mutation Frequency (x 10 ⁻⁵)
Controls	3.03	97	3.20
	2.37	58	2.45
	1.62	58	3.59
	1.91	64	3.35
	2.38	42	1.76
	2.4	76	3.17
			X = 2.92 ± 0.68
100 ppm	1.79	129	7.21
	2.31	118	5.11
	1.64	125	7.65
	1.70	114	6.73
	2.48	243	9.80
	1.28	149	11.67
			X = 8.03 ± 2.34
200 ppm	1.79	159	8.88
	3.95	399	10.10
	1.56	133	8.55
	1.73	198	11.48
	1.60	341	21.27
	1.54	176	11.45
			X = 11.96 ± 4.7

10

Similar results (a two-fold increase) were observed for the *lacI* target in the 100 ppm-exposed fish. A spontaneous mutation frequency for the *lacI* target was calculated by dividing the number of confirmed mutants (blue plaques) by the estimated total clear plaques, and determined to be about about 1.01 x 10⁻⁵. For comparison, the spontaneous mutation frequency of the identical λ LIZ mutation target in transgenic mice and rat tissues has been determined to be 2-4 x 10⁻⁵ (G.S. Provost et al., *Mutation Res.* 288:133-149 (1993); M.J. Dycaico et al., *Mutation Res.* 307:461-478 (1994)).

20

Example 4. Mutation Analysis of the *cII* Target Gene

Putative mutant plaques were verified by coring and re-plating them with *E. coli* G1250 to confirm phenotypic stability of the mutant. Selected mutations were analyzed by sequence analysis of the *cII* target gene using linear amplification sequencing in which the components of a chain-termination sequencing reaction were cycled through a standard PCR temperature profile as described in the λ SELECT-*cII* Mutation Detection System for BIG BLUE Rodents instruction manual, Catalog #72010, Revision #028001. The *cII* target region from a purified λ *cII* mutant was amplified by PCR using λ SELECT-*cII* sequencing primers, commercially available from Stratagene, La Jolla, CA. Briefly, a plaque was transferred to a microcentrifuge tube containing 25 μ L autoclaved dH₂O. The tube was capped securely and placed in boiling water for 5 minutes, then centrifuged at maximum speed for 3 minutes. The supernatant (10 μ L) was immediately transferred to 40 μ L of a PCR mastermix such that the final concentrations of the reagents were 1X *Taq* polymerase reaction buffer, 10 pmol of each primer, 12.5 nmol of each dNTP, and 2.5 U of *Taq*2000 DNA polymerase. The amplification reaction was overlaid with a drop of sterile mineral oil and the template was amplified using the following cycling parameters: a 3 minute denaturation at 95°C, followed by 30 cycles of 30 seconds at 95°C, 1 minute at 60°C, and 1 minute at 72°C, with a final extension of 10 minutes at 72°C. The products (2-4 μ l) were loaded on a sequencing gel and analyzed for sequence differences; the results are shown in Table IV.

Table IV

Mutation	% Spontaneous	ENU induced %
Transitions		
G/C → A/T	25	25
A/T → G/C	15	24
Transversions		
G/C → T/A	20	14
G/C → C/G	10	14
A/T → T/A	5	19
A/T → C/G	0	0
Frameshift (-1)	20	5
(+1)	5	0

Example 5. Mutation Analysis of the *lacI* Target Gene

Putative mutant plaques can be verified by coring and re-plating them with *E. coli* SCS-8 on X-gal-containing medium to confirm phenotypic stability of the mutant. Selected mutations can be analyzed further by sequence analyses of the *lacI* target gene using the linear amplification sequencing which is a variation of the polymerase chain reaction (commercially available from Stratagene, Inc., La Jolla, CA) under the trade designation BIG BLUE CYCLIST Exo-*Pfu*). The components of a chain-termination sequencing reaction are cycled through a temperature profile consisting of a heat-denaturation step, an annealing step and an extension step, as described below.

Mutant plaques can be verified and isolated from non-mutant plaques re-plating in the presence of X-gal. *LacI* template DNA can be prepared for sequencing by PCR using the mutant λ phage directly as the template or by further purification (See Gu et al. *Mutation Res.*, 307:533-540 (1994)). The sequencing reaction mixture can be prepared as follows: 200 fmol template DNA, 1 pmol of primer (commercially available under the trade designation of BIG BLUE *lacI* PCR Primer Set, from Stratagene), 4 μ l of 10X sequencing

buffer, 10 μ Ci of radioactive label, 1 μ l (2.5U) of polymerase, water to 26 μ l and 4 μ l DMSO to a final volume of 30 μ l. The mixture is cycled through a temperature profile of denaturation 95°C, 5 minutes; annealing 60°C, 30 seconds; and extension 72°C, 60 seconds. The products (2-4 μ l) are loaded on a sequencing gel and analyzed for sequence differences. The sequence information on *lacI* as a target for mutagenesis suggests that many of the sites for inactivation of the *lacI* have been identified. Comparisons can be made with the type, number and percentage of mutations identified.

10

Sequence Listing Free Text

SEQ ID NO:1 Portion of the BIG BLUE λ LIZ Shuttle Vector,
Stratagene, La Jolla, CA

15 SEQ ID NO:2 cII protein
SEQ ID NO:3 *cII* gene
SEQ ID NO:4 Oligonucleotide
SEQ ID NO:5 Oligonucleotide

20 The complete disclosures of all patents, patent applications,
publications, database entries, submissions and deposits, including GENBANK
deposits and the descriptive information associated therewith, and other
documents cited herein are fully incorporated herein in their entireties by
reference as if individually incorporated. Various modifications and alterations
of this invention will become apparent to those skilled in the art without
25 departing from the scope and spirit of this invention, and it should be understood
that this invention is not to be unduly limited to the illustrative embodiments set
forth herein.

WHAT IS CLAIMED IS:

1. A transgenic fish comprising a genomically integrated bacteriophage lambda-derived transgene construct, and progeny of said transgenic fish.
2. The transgenic fish of claim 1 whose somatic and germ cells comprise the genomically integrated bacteriophage lambda-derived transgene construct.
3. The transgenic fish of claim 1 wherein the bacteriophage lambda-derived transgene construct comprises an excisable test nucleic acid sequence comprising at least one copy of at least one assayable mutation target nucleic acid sequence.
4. The transgenic fish of claim 3 wherein a mutation in the at least one assayable mutation target nucleic acid sequence is detectable by bioassay in a bacterial cell comprising the assayable mutation target nucleic acid sequence.
5. The transgenic fish of claim 3 wherein the assayable mutation target nucleic acid sequence comprises at least one nucleic acid sequence selected from the group consisting of the *lacI* gene, the *lacZ* gene, the *lac* promoter sequence, the *cII* gene, the *cII* mRNA ribosome binding site and the *cII* protein-activated P_{RE} promoter sequence.
6. The transgenic fish of claim 5 wherein the assayable mutation target sequence comprises the *lacI* gene.
7. The transgenic fish of claim 5 wherein the assayable mutation target sequence comprises the *cII* gene.
8. The transgenic fish of claim 3 wherein the assayable mutation target sequence comprises the *cII* region of bacteriophage lambda.

9. The transgenic fish of claim 3 wherein the bacteriophage lambda-derived transgenic construct comprises at least one *cos* site.
10. The transgenic fish of claim 1 which is a teleost fish.
11. The transgenic fish of claim 10 which is selected from the group consisting of a medaka, a zebrafish, a mummichog, a killifish, a channel catfish, a common carp and a trout.
12. The transgenic fish of claim 1 which is sterile.
13. A genomically identical population of transgenic fish, each of whose somatic and germ cells contain a genomically integrated bacteriophage lambda-derived transgene construct.
14. The genomically identical population of transgenic fish of claim 13 wherein the genomically integrated bacteriophage lambda-derived transgene construct comprises an excisable test nucleic acid sequence comprising at least one copy of at least one assayable mutation target nucleic acid sequence.
15. The genomically identical population of transgenic fish of claim 13 wherein the fish are selected from the group consisting of a medaka, a zebrafish, a mummichog, a killifish and a trout.
16. A transgenic fish egg comprising a genomically integrated bacteriophage lambda-derived transgene construct.
17. A transgenic fish derived from the transgenic fish egg of claim 16.
18. A transgenic fish sperm comprising a genomically integrated bacteriophage lambda-derived transgene construct.
19. A transgenic fish derived from the transgenic fish sperm of claim 18.

20. A transgenic fish embryo comprising a genomically integrated bacteriophage lambda-derived transgene construct.
21. A transgenic fish derived from the transgenic fish embryo of claim 20.
22. A cell line derived from the transgenic fish of claim 1.
23. A cell line derived from the transgenic fish embryo of claim 20.
24. A transgenic fish cell line comprising a genomically integrated bacteriophage lambda-derived transgene construct.

AMENDED CLAIMS

[received by the International Bureau on 15 November 1999 (15.11.99);
new claims 25 – 50 added; remaining claims unchanged (5 pages)]

20. A transgenic fish embryo comprising a genomically integrated bacteriophage lambda-derived transgene construct.
21. A transgenic fish derived from the transgenic fish embryo of claim 20.
22. A cell line derived from the transgenic fish of claim 1.
23. A cell line derived from the transgenic fish embryo of claim 20.
24. A transgenic fish cell line comprising a genomically integrated bacteriophage lambda-derived transgene construct.
25. A transgenic fish cell comprising a genomically integrated bacteriophage lambda-derived transgene construct.
26. The transgenic fish cell of claim 25 wherein the bacteriophage lambda-derived transgene construct comprises an excisable test nucleic acid sequence comprising at least one copy of at least one assayable mutation target nucleic acid sequence.
27. The transgenic fish cell of claim 26 wherein the assayable mutation target nucleic acid sequence comprises at least one nucleic acid sequence selected from the group consisting of the *lacI* gene, the *lacZ* gene, the *lac* promoter sequence, the *cII* gene, the *cII* mRNA ribosome binding site and the cII protein-activated P_{RE} promoter sequence.
28. A method for detecting mutations in the DNA of a transgenic fish or a transgenic fish cell comprising:
 - providing a transgenic fish or fish cell comprising a genomically integrated bacteriophage lambda-derived transgene construct comprising an excisable test nucleic acid sequence comprising at least one copy of at least one assayable mutation target nucleic acid sequence;
 - recovering fish or fish cell DNA comprising the bacteriophage

lambda-derived transgene construct; and

detecting the presence of a mutation in the mutation target nucleic acid sequence.

29. The method of claim 28 wherein the recovering step comprises extracting the fish or fish cell DNA from the fish or fish cell to yield extracted DNA comprising bacteriophage lambda-derived DNA and chromosomal DNA.

30. The method of claim 29 wherein the recovering step further comprises packaging the bacteriophage lambda-derived transgene construct to yield packaged phage.

31. The method of claim 30 further comprising introducing the packaged phage into a bacterial host.

32. The method of claim 31 wherein the mutation target nucleic acid sequence comprises at least one nucleic acid sequence selected from the group consisting of the *cII* gene, the *cII* mRNA ribosome binding site and the *cII* protein-activated P_{cII} promoter sequence of bacteriophage lambda.

33. The method of claim 32 wherein the bacterial host comprises an *hfl* *E. coli* strain.

34. The method of claim 31 wherein the mutation target nucleic acid sequence comprises at least one nucleic acid sequence selected from the group consisting of the *lacI* gene, the *lacZ* gene and the *lac* promoter sequence.

35. The method of claim 32 wherein the bacterial host comprises an *E. coli* strain that produces a polypeptide that complements the polypeptide encoded by the *alacZ* region of the *lacZ* gene to form active β -galactosidase.

36. The method of claim 28 wherein the providing step comprises providing a transgenic fish or fish cell that has been or is suspected of having been exposed to a mutagen.

37. The method of claim 28 further comprising exposing the transgenic fish or fish cell to a mutagen prior to recovering the DNA comprising the mutation target nucleic acid sequence.

38. The method of claim 37 wherein the mutagen is selected from the group consisting of a chemical, a radioisotope and electromagnetic radiation.

39. The method of claim 38 further comprising analyzing the mutation in the mutation target nucleic acid sequence.

40. The method of claim 39 wherein analyzing the mutation comprises determining the nucleic acid sequence of the mutation target nucleic acid sequence.

41. A method for evaluating the mutagenicity of a suspected mutagen comprising:

 exposing a transgenic fish or fish cell to a suspected mutagen, wherein the transgenic fish or fish cell comprises a genomically integrated bacteriophage lambda-derived transgene construct comprising an excisable test nucleic acid sequence comprising at least one copy of at least one assayable mutation target nucleic acid sequence;

 recovering DNA comprising the bacteriophage lambda-derived transgene construct; and

 detecting the presence of a mutation in the mutation target nucleic acid sequence.

42. The method of claim 41 wherein the mutation target nucleic acid sequence comprises at least one nucleic acid sequence selected from the group consisting of the *lacI* gene, the *lacZ* gene, the *lac* promoter sequence, the *cII* gene, the *cII* mRNA ribosome binding site and the *cII* protein-activated P_{RE} promoter sequence.
43. The method of claim 41 further comprising analyzing the mutation in the mutation target nucleic acid sequence.
44. The method of claim 43 wherein analyzing the mutation comprises determining the nucleic acid sequence of the mutation target nucleic acid sequence.
45. The method of claim 43 wherein analyzing the mutation comprises determining a mutation spectrum of the suspected mutagen.
46. A method for making a transgenic fish comprising microinjecting heterologous DNA into a one-cell fish embryo through the micropyle.
47. The method of claim 46 wherein the microinjection is performed within about 5 minutes following fertilization.
48. A method for making a transgenic fish for mutagenesis detection, said method comprising microinjecting heterologous DNA into a one-cell fish embryo, wherein the heterologous DNA comprises a mutation target nucleic acid sequence.
49. The method of claim 48 wherein the mutation target nucleic acid sequence comprises at least one nucleic acid sequence selected from the group consisting of the *lacI* gene, the *lacZ* gene, the *lac* promoter sequence, the *cII* gene, the *cII* mRNA ribosome binding site and the *cII* protein-activated P_{RE} promoter sequence.

50. The method of claim 48 wherein the heterologous DNA is microinjected through the micropyle within about 5 minutes following fertilization.

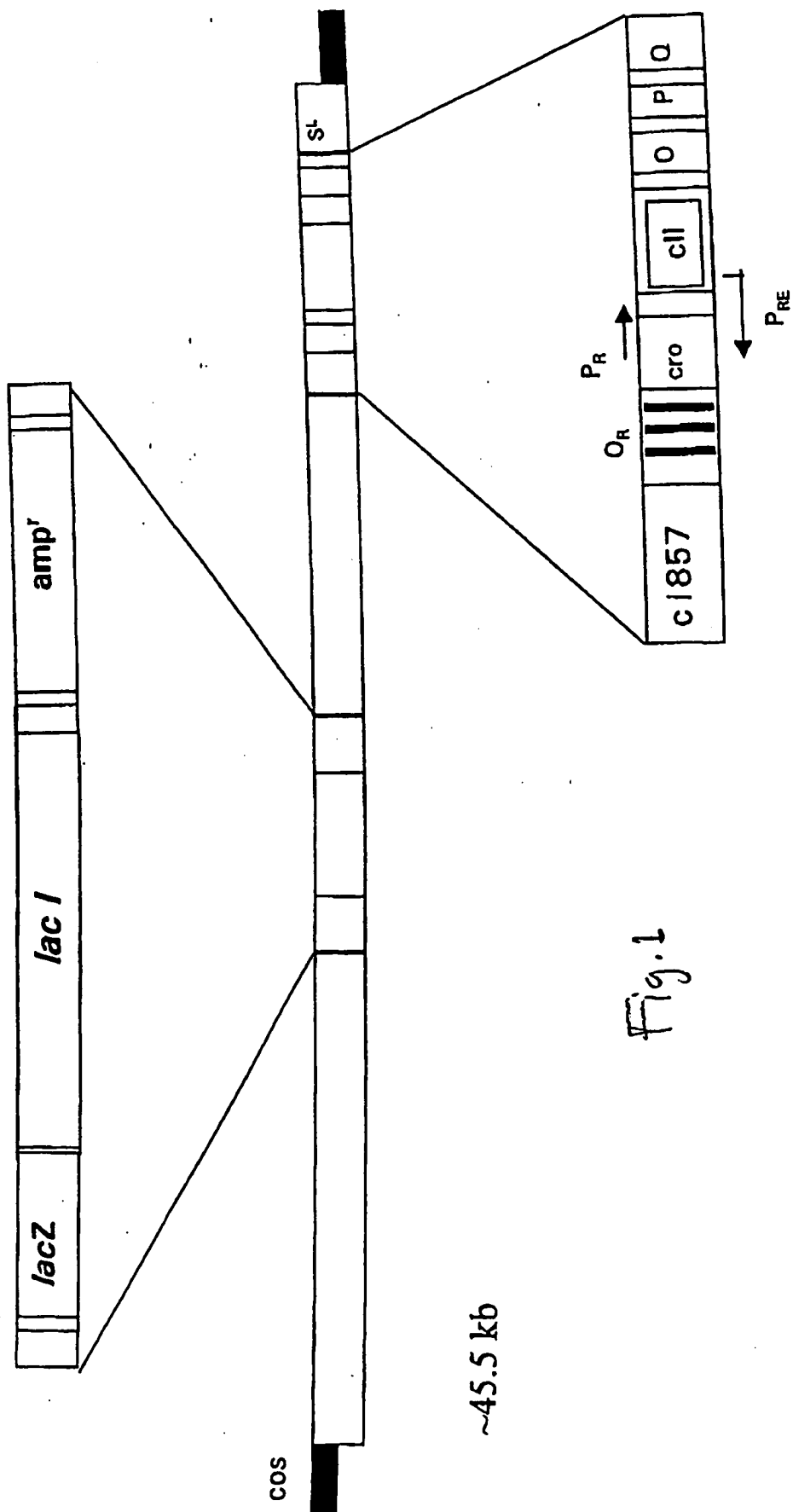


Fig. 1

~45.5 kb

2/4

A SEQ ID NO: 1 (1 CI ORF ends at λ base position 37230)

37866 TCC CCA TCT TGT CTG CGA CAG ATT CCT GTC ATA AGC CAA GTT CAT TTT TCT TTT
 CI start ->|

37922 GTT CCT TTT TTG TGC TCA TAC GTC AAA TC ACC ACC GCG GCG GAG A TAC CCA
 O₂ P₂ promoter 5'mRNA ->

37976 ACA CCG CCC GTC TCG ACC ATT TCA GCG GCG GCG GCG GAG ATG GTC GCA TGC ACC
 -> start Cro

38030 5 AAG GAG GTC GCA TGG AAC AAC GCA TAA CCC TGA AAG ATT ATG CAA TGC GCT TTG

38084 62 GGC AAA CCA AGA CAG CTA AAG ATC TCG GCG TAT ATC AAA GCG CGA TCA ACA AGC

38138 116 CCA TTC ATG CAG GCC GAA AGA TTT TTT TAA CTA TAA AGC CTG ATG GAA GCG TTT
 and Cro ->|

38192 170 ATG CCG AAG AGG TAA AGC CCT TCC CGA GTA ACA AAA AAA CAA CAG CAT AAA TAA
 Alternative sequencing primer ->

38246 224 CCG CGC TCT TAC ACC TTC CAG CTC TGA AAA AGG GCA TCA AAT TAA ACC ACA CCG
 sequencing primer -> PCR & SD

38300 ATG GCG TAC GCA TTT ATT TGC ATA CAT TCA ATC AAT TGC TAT CCA GCG GAG TAC
 -> start CII

38354 332 TTA CAT ATG GTT CGT GCA AAC AAA CCG AAC GAG GCG CTA CGA ATC GAG AGT GCG
 Met Val Arg Ala Asn Lys Arg Asn Glu Ala Leu Arg Ile Glu Ser Ala

38408 386 TTG CTT AAC AAA ATC GCA ATG CTT GGA ACT GAG AAG ACA GCG GAA CCT GTG GCG
 Leu Leu Asn Lys Ile Ala Met Leu Gly Thr Glu Lys Thr Ala Glu Ala Val Gly

38462 440 GTT GAT AAG TCG CAG ATC AOC AGG TCG AAG AAG GAC TCG ATT CCA AAG TTC TCA
 Val Asp Lys Ser Gln Ile Ser Arg Trp Lys Arg Asp Trp Ile Pro Lys Phe Ser

38516 494 ATG CTG CTT GCT GTT CTT GAA TGG GCG GTC GTT GAC GAC GAC ATG GCT CGA TTG
 Met Leu Leu Ala Val Leu Glu Trp Gly Val Val Asp Asp Asp Met Ala Arg Leu

38570 548 GCG CGA CAA GTT GCT GCG ATT CTC ACC AAT AAA AAA CCG GCG GCA ACC GAG
 Ala Arg Gln Val Ala Ala Ile Leu Thr Asn Lys Lys Arg Pro Ala Ala Thr Glu

38624 602 COT TCT GAA CAA ATC CAG ATG GAG TTC TGA GGT CAT TAC TGG ATC TAT CAA CCG
 Arg Ser Glu Gln Ile Gln Met Glu Phe ---
 end CII ->|

38678 656 GAG TCA TCA CAA ATA CAG CAA AAT TAC TCA ACT TCG GCA GAG CTA ACT TTG
 -> start O -> PCR & sequencing primer

Fig. 2

B

Seq 10 c// Primer 1 →
10:3 AAAAAAGGGCATCAAATTAACCCACACCTATGGTGTATGCATTTATTTGCATACATTCAATCAATTGTT
ATCTAAGGAAATACTTACATATGGTTCGTGCAAACAAACGCAACGAGGCTCTACGAATCGAGAGTGC
GTTGCTTAACAAAATCGCAATGCTTGGAAGTGAAGACAGCGGAAGCTGTGGGCGTTGATAAGTC
GCAGATCAGCAGGTGGAAGAGGGACTGGATTCCAAAGTTCTCAATGCTGCTTGCTGTTCTTGAATG
GGGGGTCGTTGACGACGACATGGCTCGATTGGCGCGACAAGTTGCTGCGATTCTCACCAATAAAAA
ACGCCCCGGCGGCAACCGAGCGTTCTGAACAAATCCAGATGGAGTTCTGAGGTCATTACTGGATCTA
TCAACAGGAGTCATTATGACAAATACAGCAAAAATACTCAACTTCGG
← c// Primer 2

Fig. 2 (continued)

4/4

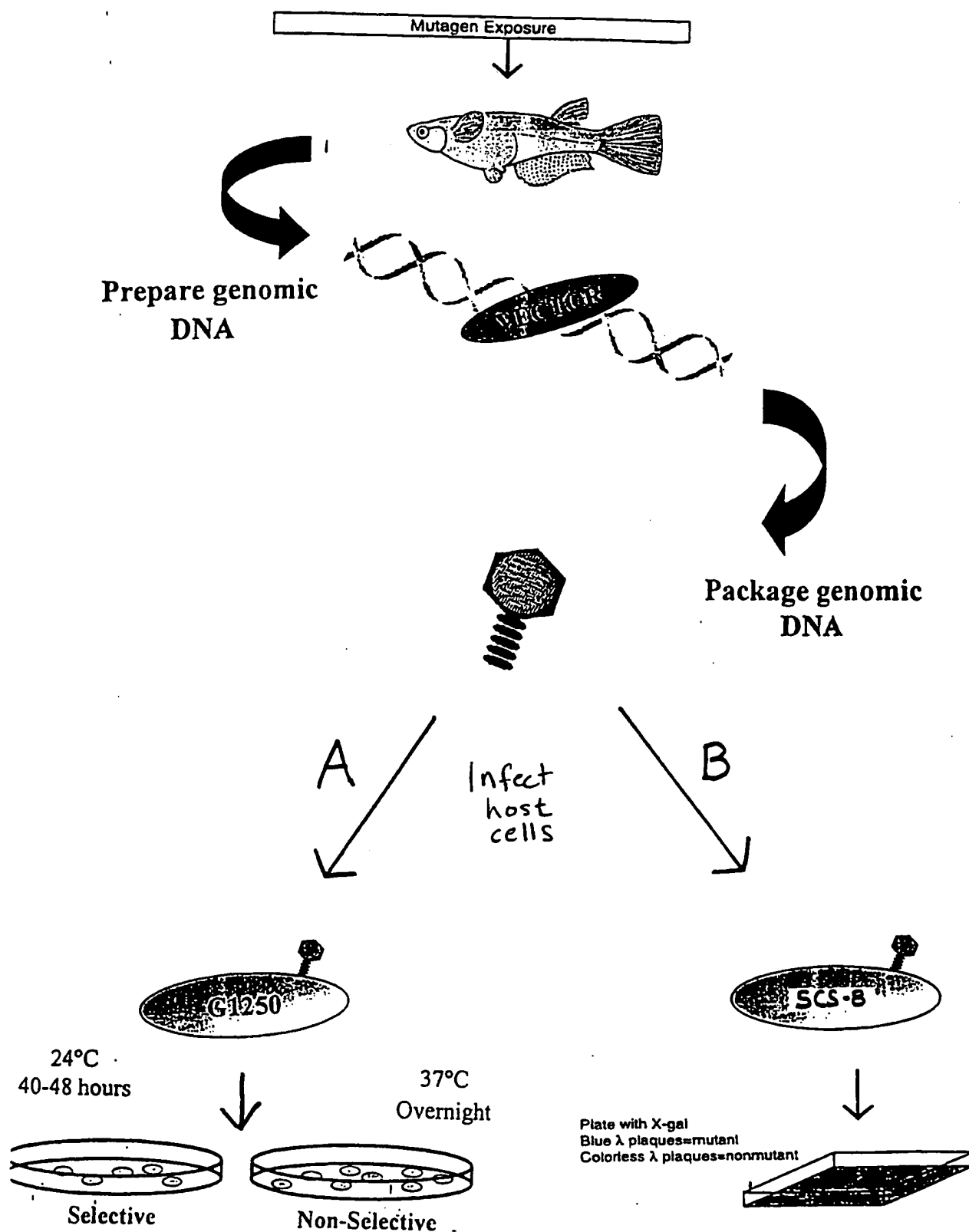


Fig. 3.

SEQUENCE LISTING

<110> Richard N. Winn

<120> BACTERIOPHAGE-BASED TRANSGENIC FISH FOR MUTATION
DETECTION

<130> 00050201

<140> Unassigned

<141> 1999-05-28

<150> 60/087,430

<151> 1998-05-31

<160> 5

<170> PatentIn Ver. 2.0

<210> 1

<211> 918

<212> DNA

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Portion of

Stratagene BIG BLUE lambda LIZ shuttle vector

<400> 1

tccccatctt gtctgcgaca gattcctggg ataagccaag ttcatttttc tttttttcat
60

aaattgcttt aaggcgacgt gcgtcctcaa gctgctcttg tgttaatggt ttcttttttg
120

tgctcatagc ttaaatctat caccgcaacg gataaatatc taacaccgtg cgtgttgact
180

attttacctc tggcggatgat aatgggttga tgtactaagg aggttgatg gaacaacgca
240

taaccctgaa agattatgca atgcgctttg ggcaaaccac gacagctaaa gatctcggcg
300

tatatcaaag cgcatcaac aaggccattc atgcaggccg aaagattttt ttaactataa
360

acgctgatgg aagcgtttat gcggaagagg taaagccctt cccgagtaac aaaaaaaca
420

cagcataaat aaccccgctc ttacacattc cagccctgaa aaaggcatc aaattaaacc

2/4

480

acacctatgg tgtatgcatt tatttgcata cattcaatca attggtatct aaggaaatac
540

ttacatatgg ttcgtgcaaa caaacgcaac gaggtctctac gaatcgagag tgcgttgctt
600

aacaaaatcg caatgcttgg aactgagaag acagcggaag ctgtgggcgt tgataagtcg
660

cagatcagca ggtggaagag ggactggatt ccaaagttct caatgctgct tgctgttctt
720

gaatgggggg tcgttgacga cgacatggct cgattggcgc gacaagttgc tgcgattctc
780

accaataaaa aacgcccggc ggcaaccgag cgttctgaac aaatccagat ggagttctga
840

ggtcattact ggatctatca acaggagtca ttatgacaaa tacagcaaaa atactcaact
900

tcggcagagc taactttg
918

<210> 2

<211> 97

<212> PRT

<213> Escherichia coli

<400> 2

Met Val Arg Ala Asn Lys Arg Asn Glu Ala Leu Arg Ile Glu Ser Ala

1 5 10 15

Leu Leu Asn Lys Ile Ala Met Leu Gly Thr Glu Lys Thr Ala Glu Ala

20 25 30

Val Gly Val Asp Lys Ser Gln Ile Ser Arg Trp Lys Arg Asp Trp Ile

35 40 45

Pro Lys Phe Ser Met Leu Leu Ala Val Leu Glu Trp Gly Val Val Asp

3/4

50

55

60

Asp Asp Met Ala Arg Leu Ala Arg Gln Val Ala Ala Ile Leu Thr Asn

65

70

75

80

Lys Lys Arg Pro Ala Ala Thr Glu Arg Ser Glu Glu Ile Gln Met Glu

85

90

95

Phe

<210> 3

<211> 446

<212> DNA

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: cII gene

<400> 3

aaaaagggca tcaaattaa ccacacctat ggtgtatgca tttatttgca tacattcaat
60

caattgttat ctaaggaaat acttacatat gggtcgtgca aacaaacgca acgaggctct
120

acgaatcgag agtgcgttgc ttaacaaaat cgcaatgctt ggaactgaga agacagcgga
180

agctgtgggc gttgataagt cgcagatcag caggtggaag agggactgga ttccaaagtt
240

ctcaatgctg cttgctgttc ttgaatgggg gggtcgttgac gacgacatgg ctcgattggc
300

gcgacaagtt gctgcgattc tcaccaataa aaaacgcccg gcggcaaccg agcgttctga

4/4

,360

acaaatccag atggagttct gaggtcatta ctggatctat caacaggagt cattatgaca
420

aatacagcaa aaataactcaa cttcgg
446

<210> 4

<211> 25

<212> DNA

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: oligonucleotide

<400> 4

gatgagttcg tgtccgtaca actgg
25

<210> 5

<211> 25

<212> DNA

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: oligonucleotide

<400> 5

ggttatcgaa atcagccaca gcgcc
25

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 99/11857

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A01K67/027 C12Q1/70 C12Q1/68 C12Q1/02 C12N5/10

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A01K C12Q C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 510 099 A (SHORT JAY M ET AL) 23 April 1996 (1996-04-23) cited in the application	1-6, 9-20, 22-24
Y	column 11, paragraphs 2,3; figure 8	7,8
Y	JAKUBCZAK J ET AL: "Analysis of genetic instability during mammary tumor progression using a novel selection-based assay for in vivo mutations in a bacteriophage lambda transgene target" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA., vol. 93, no. 17, 20 August 1996 (1996-08-20), pages 9073-9078, XP002119801 cited in the application. figure 1	7,8

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

21 October 1999

Date of mailing of the international search report

05/11/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Lonnoy, 0

INTERNATIONAL SEARCH REPORT

International Application No

PC1/US 99/11857

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	KAISER J: "Fishing for toxic chemicals" SCIENCE, vol. 283, 5 February 1999 (1999-02-05), pages 775-777, XP002119802 the whole document ---	1-24
A	WINN R ET AL: "Transfer, methylation and spontaneous mutation frequency of Phi-X174am3cs70 sequences in Medaka (Oryzias latipes) and mummichog (Fundulus heteroclitus): Implications for gene transfer and environmental mutagenesis in aquatic species " MARINE ENVIRON. RES., vol. 40, no. 3, 1995, pages 247-265, XP002119803 cited in the application ---	1-24
A	DYCAICO M ET AL: "The use of shuttle vectors for mutation analysis in transgenic mice and rats" MUTAT RES., vol. 307, no. 2, 1 June 1994 (1994-06-01), pages 461-478, XP002119804 cited in the application ---	
A	MIRSALIS J ET AL: "Transgenic animal models for detection of in vivo mutations" ANNU REV PHARMACOL TOXICOL., vol. 35, 1995, pages 145-164, XP002119805 cited in the application ---	
A	WO 93 17123 A (UNIV OHIO) 2 September 1993 (1993-09-02) -----	

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/11857

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5510099 A	23-04-1996	US 5955056 A	21-09-1999
		CA 2130081 A	19-08-1993
		EP 0671955 A	20-09-1995
		WO 9315769 A	19-08-1993
		CA 2079792 A	06-10-1991
		EP 0608210 A	03-08-1994
		JP 6506103 T	14-07-1994
		WO 9115579 A	17-10-1991
		US 5589155 A	31-12-1996
		US 5824287 A	20-10-1998
		AT 132266 T	15-01-1996
		DE 3854823 D	08-02-1996
		DE 3854823 T	23-05-1996
		EP 0289121 A	02-11-1988
		JP 1027478 A	30-01-1989
		JP 2618973 B	11-06-1997
		US 5347075 A	13-09-1994
WO 9317123 A	02-09-1993	AU 3777693 A	13-09-1993

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.